



*Investigations*

*Forensic Accounting*

*Due Diligence*

*MX-LATAM*

# Proteus Offers a Wide Range of Investigative Capabilities, to include:


## BACKGROUND INVESTIGATIONS IN MEXICO/LATAM

BIS IN DEVELOPING COUNTRIES LIKE MEXICO ARE MORE CHALLENGING THAN IN THE U.S. IN MOST CATEGORIES, MUCH OF THE BASIC DATA BASE SEARCHES THAT WE ARE ACCUSTOMED TO IN THE U.S. ARE NOT UNAVAILABLE IN MEXICO, SO THERE IS A NEED FOR MORE BOOTS-ON-THE-GROUND INVESTIGATIONS.

COSTS VARY DUE TO GAINING ACCESS TO GOVERNMENT RECORDS (MANY OF THE RECORDS THAT WE CAN EASILY ACCESS THROUGH NATIONWIDE DATABASES IN THE US NEED TO BE OBTAINED DISCRETELY IN MEXICO, WHICH EFFECT THE PRICES). IN THESE SITUATIONS WE FOCUS MORE ON LEARNING ABOUT A PERSON'S CHARACTER BY STUDYING THEM BOTH OVERTLY AND DISCRETELY AND TALKING TO PEOPLE THAT HAVE HAD DEALINGS WITH THE INDIVIDUAL IN QUESTION, RATHER THAN BY DATA BASE RECORDS, SO IT'S NOT SO MUCH A STUDY OF THE PERSON'S HISTORY AS IT IS OF THE PERSON'S PRESENT BEHAVIOR, ASSOCIATIONS, INTEGRITY AND LIFE CHOICES.

## INVESTIGATIONS AND INTELLIGENCE

Investigations are a very important tool for preventing losses, as well as resolving critical incidents and dissuading further criminal activity against your assets. **PROTEUS** offers a wide range of investigative capabilities, to include:



- Employee Misconduct / Work Place Violence
- Threat / Extortion / Sabotage
- Competitive Intelligence
- Counter-Intelligence
- Undercover Operations / Infiltrations
- Union / Labor Conflicts
- Kidnap / Ransom (Training and Consultation)
- E-crime / Data Breaches
- Compliance / Preventive





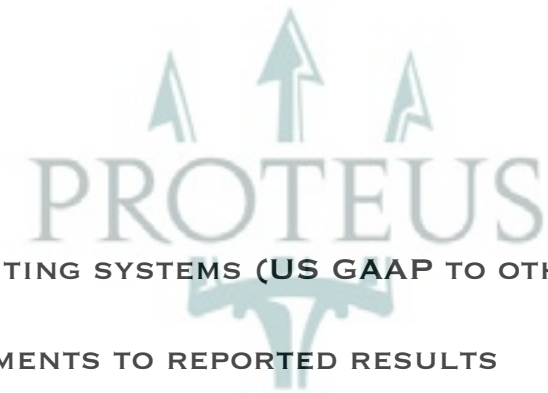


## MERGERS AND ACQUISITIONS

1. TARGET COMPANY (TO BE PURCHASED OR SOLD)
  - A. FINANCIAL DUE DILIGENCE
  - B. FINANCIAL AND REPORTING STATEMENT CREATION
  - C. ON SITE VERIFICATION OF FINANCIAL DATA AND SUPPORT
  - D. COMPREHENSIVE PRICING AND ANALYSIS MODELING
  - E. TAX AND LEGAL ANALYSIS OF HOLDING ENTITIES
    - I. CURRENT
    - II. TO BE CREATED

## FORENSIC ACCOUNTING

- + RECREATION OF FINANCIAL STATEMENTS
- + TESTING OF INTERNAL CONTROLS AND PROCEDURES
- + VERIFICATION OF DATA ACCURACY AND REPORTED RESULTS
- + TESTING OF MANAGEMENTS ESTIMATES
- + REVIEW OF DISCLOSURES



1. RECONCILIATION OF ACCOUNTING SYSTEMS (US GAAP TO OTHER STANDARDS)
2. TRACING OF SOURCES DOCUMENTS TO REPORTED RESULTS
3. ANALYTICAL PROCEDURES
4. RELATIONSHIP OF EQUITY INTERESTS AND OWNERSHIP ENTITIES VERIFICATION / TESTING OF PERCEIVED FRAUDULENT TRANSACTION PEER COMPANIES COMPARISONS OTHER SERVICES:
  - + FINANCIAL PRODUCT SELECTION AND TAX STRUCTURING
  - + ON AND OFFSHORE OWNERSHIP ANALYSIS
  - + CUSTOM FINANCIAL MODELING
  - + STRUCTURAL THREAT ASSESSMENTS (COURT CASES, ETC.)

**CAPITAL / EQUITY ACCESS INVESTIGATIONS ARE A VERY IMPORTANT TOOL FOR PREVENTING LOSSES, AS WELL AS CRITICAL INCIDENTS AND DISSUADING FURTHER CRIMINAL ACTIVITY AGAINST YOUR ASSETS.**

**C**ONDUCTING THOROUGH FORENSIC FINANCIAL ANALYSIS OF BUSINESS AND PERSONAL RECORDS AND DEVELOPING FINANCIAL PROFILES OF INDIVIDUALS OR GROUPS IDENTIFIED AS PARTICIPATING IN SUSPICIOUS OR ILLEGAL ACTIVITY.

**I**DENTIFYING AND TRACING FUNDING SOURCES AND INTERRELATED TRANSACTIONS.

**C**OMPILING FINDINGS AND CONCLUSIONS INTO FINANCIAL INVESTIGATIVE REPORTS.







## DUE DILIGENCE INVESTIGATIONS

DD INVESTIGATIONS ARE SIMILAR TO BIS IN THE SENSE THAT THEY ARE DESIGNED TO FIND OUT AS MUCH AS POSSIBLE ABOUT AN UNKNOWN ENTITY; WHERE THE BIS FOCUS ON INDIVIDUALS, THE DD FOCUSES ON COMPANIES OR OTHER ORGANIZATIONAL ENTITIES.

THERE'S A WIDE RANGE OF REASONS FOR A DD, SUCH AS CONTRACTING SUPPLIERS/CONTRACTORS, MERGERS OR ACQUISITIONS, TAKING ON NEW BUSINESS PARTNERS, JOINT VENTURES WITH FOREIGN COMPANIES AND MORE.

GENERAL INVESTIGATIVE COMPONENTS:

- ✦ COMPANY STRUCTURE AND STATUS.
- ✦ DETERMINE IF A COMPANY IS LEGITIMATELY ESTABLISHED AND IF THEY ARE ACTIVE AND UP TO DATE ON THEIR FINANCIAL RESPONSIBILITIES.

IT IS ALSO IMPORTANT TO CHECK IF A COMPANY HAS ANY LEGAL BAGGAGE, SUCH AS LAW SUITS, BANKRUPTCIES OR LIENS AGAINST THEM.

COMPANY OWNERS AND KEY PERSONS

PERFORM BIS ON ALL KEY INDIVIDUALS (SEE ABOVE)

CONFIRMATION ON REPORTED CAPABILITIES

SUPPLIERS, CONTRACTORS, INVESTORS, PARTNERS, ETC. WILL REPORT THEIR SERVICE/PRODUCT/FINANCIAL CAPABILITIES, WHICH SHOULD BE CONFIRMED BY AN INDEPENDENT CONSULTANT. DEPENDING ON THE SITUATION, THESE ASSESSMENTS CAN RANGE FROM SITE INSPECTIONS, CONFIRMING CLIENT SATISFACTION, FINANCIAL AUDITS, REGULATORY COMPLIANCE, REPUTATION AND MORE.

MANY CONTRACTORS OR JOINT VENTURE PARTNERSHIPS (SUCH AS TRANSPORTATION OR FOREIGN MANUFACTURING COMPANIES), WILL INCUR AN IMPORTANT RESPONSIBILITY WHEN HANDLING A CLIENT'S PRODUCTS, SO VULNERABILITY ASSESSMENTS OF THEIR SECURITY MEASURES IS IMPORTANT IN ESTABLISHING WHICH COMPANIES WILL REPRESENT LESS CRIMINAL LOSS.

The logo for Proteus, featuring the word "PROTEUS" in a stylized, serif font. The letter "O" is replaced by a circle with a horizontal line through it. To the right of the word is a graphic element consisting of three horizontal lines that curve upwards and to the right, resembling a stylized "E" or a set of wings.





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